

PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents  
Mail Stop Patent Application  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith for filing under 35 USC 111 and 37 CFR 1.53 is the original (nonprovisional) patent application of

Inventor(s): John Lowell Southard II and G. Frederick Hutter

For: AROMATIC CARBOXYLIC ACID-MODIFIED CYCLOPENTADIENE-BASED HYDROCARBON RESINS

Enclosed are:

XXX 29 pages of specification.

XXX 15 pages of claims.

\_\_\_\_\_ sheets of drawings.

\_\_\_\_\_ formal

\_\_\_\_\_ informal

XXX 1 page(s) Abstract.

XXX Executed declaration or oath of the inventor.

XXX An assignment of the invention to: MeadWestvaco Corporation,

1 High Ridge Park, Stamford, CT 06905,  
a corporation of the State of Delaware.

XXX A separate cover sheet for Assignment (Document) accompanying new patent application is also attached.

\_\_\_\_\_ A certified copy of a \_\_\_\_\_ application.

\_\_\_\_\_ Associate power of attorney.

\_\_\_\_\_ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

XXX Information Disclosure Statement.

\_\_\_\_\_ Preliminary amendment.

XXX Other: Non Publication Request

**CLAIMS AS FILED**

(1) For	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) FEE
BASIC FEE			\$770.00	\$770.00
TOTAL CLAIMS	52 - 20 =	32	x 18.00	576.00
INDEPENDENT CLAIMS	4 - 3 =	1	x 86.00	86.00
MULTIPLE DEPENDENT CLAIM PRESENT			\$290.00	
*NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$1432.00
			ASSIGNMENT RECORDATION FEE	\$ 40.00
			TOTAL	\$1472.00

111803

17224 U.S.PTO

22386 U.S.PTO  
10/715793

11/18/03

If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.

SMALL ENTITY TOTAL \$ \_\_\_\_\_

\_\_\_\_\_ Two checks totaling \$ \_\_\_\_\_ are enclosed to cover the application filing fee of \$ \_\_\_\_\_ and the Assignment recordation fee of \$ \_\_\_\_\_.

XXX The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-1160 as described below. I have enclosed a duplicate copy of this sheet.

XXX Charge the amount of \$1,432.00 as filing fee.

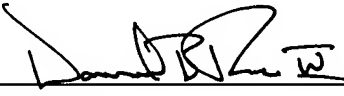
XXX Charge the amount of \$40.00 as Assignment Recordation Fee.

XXX Credit any overpayment.

XXX Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

\_\_\_\_\_ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

\_\_\_\_\_  
November 18, 2003  
Date

\_\_\_\_\_  
  
Signature

Daniel B. Reece IV  
Attorney for the Applicant  
Registration No. 33,998  
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Attachments

Express Mail No. EU592640448US

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the paperwork reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(I)**

First Named Inventor	John Lowell Southard III
Title	Aromatic Carboxylic Acid-Modified Cyclopentadiene-Based Hydrocarbon Resins
Atty Docket Number	SCD 03-34

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 18, 2003

Date



Signature

Daniel B. Reece IV  
Attorney for the Applicants  
Registration No. 33,998

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

EXPRESS MAIL NO. EU592640448US  
Case Docket No. SCD 03-34

CERTIFICATE UNDER 37 C.F.R. 1.10(a)

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as Express Mail in an envelope addressed to the Commissioner for Patents, P.O.  
Box 1450, Alexandria, VA 22313-1450, on November 18, 2003.

A handwritten signature in black ink, appearing to read "Daniel B. Reece IV", is written over a horizontal line.

Daniel B. Reece IV  
Attorney for the Applicants  
Registration No. 33,998